

09-27-01

11042 U.S. PTO
10/26/01

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	C1039/7057 HCL/MAT
	First Named Inventor or Application Identifier	
	Davis, et al.	
	Express Mail Label No.	EL844533742US
Date of Deposit	September 26, 2001	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> <input type="checkbox"/> Statement filed in prior application, Status still proper and desired.		8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix) 9. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS		
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets - 15] <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings - 15] <input checked="" type="checkbox"/> Letter to Official Draftsperson 5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages = 4] <input checked="" type="checkbox"/> Revocation of POA and new POA (2 pages) a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <i>[Note Box 6 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		
6. <input checked="" type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.		
7. <input checked="" type="checkbox"/> Application Data Sheet, See 37 CFR 1.76		
10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s) 11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney 12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i> 13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations 14. <input checked="" type="checkbox"/> Preliminary Amendment (42 pages) 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii) 18. <input checked="" type="checkbox"/> Other: Check in the amount of \$1250.00 <input checked="" type="checkbox"/> Revocation of POA and new POA (2 pages)		

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. 09/082,649

Cancel in this application claims 1-58 before calculating the filing fee.

Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

-- This application is a Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/082,649, filed May 20, 1998, now allowed, and claiming priority to U.S. Provisional Patent application Nos. 60/047,209 and 60/047,233, both filed May 20, 1997.

Prior application information: *Examiner D. Nguyen* **Group Art Unit:** 1633

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

AGENT'S NAME	Maria A. Trevisan, Reg. No. 48,207				
FIRM NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Maria A. Trevisan Reg. No. 48,207
SIGNATURE	
DATE	09/26/01

Inventor(s): Davis et al.
 Express EL844533742US
 Mail Label No:
 Filed: September 26, 2001

CHECK BOX, if applicable:

For: *Vectors and Methods for Immunization or Therapeutic Protocols* DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	50-20=	30x	\$ 18	= \$ 540.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3=	0 x	\$ 80	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)			\$	
			Total of above Calculations =	\$	1,250.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).			\$	
	Assignment Recordation Fee (if any)			\$	
	Other Fees (if any).			\$	
			TOTAL =	\$	1,250.00

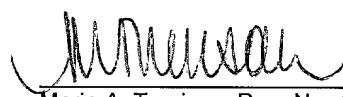
1. A check in the amount of \$1,250.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 1.17 or 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16 1.17 or 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



Maria A. Trevisan, Reg. No. 48,207
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 600 Atlantic Avenue
 Boston, MA 02210-2211
 (617) 720-3500
 Attorneys of Record

Docket No. C1039/7057 (HCL/MAT)
 Date: September 26, 2001

ATTORNEY'S DOCKET NO. C1039/7057 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Davis, et al.
For: VECTORS AND METHODS FOR IMMUNIZATION OR
THERAPEUTIC PROTOCOLS
Express Mail Label: EL844533742US
Date Filed: Herewith

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

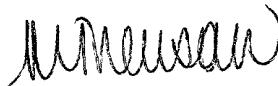
Sir:

LETTER TO OFFICIAL DRAFTSMAN

Enclosed are fifteen (15) sheets of formal drawings containing Figs. 1A and 1B, 2A and 2B, 3A and 3B, 4A and 4B and 5-11. Applicants respectfully request that the Draftsman consider and approve the drawings.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Maria A. Trevisan Reg. No.: 48,207
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600 Atlantic Avenue
Boston, MA 02210
(617) 720-3500

Docket No.: C1039/7057 (HCL/MAT)

Date: September 26, 2001

xndd

ATTORNEY'S DOCKET NO. C1039/7057 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Davis, et al.
For: VECTORS AND METHODS FOR IMMUNIZATION OR
THERAPEUTIC PROTOCOLS
Express Mail Label: EL84453374US
Date Filed: Herewith

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

STATEMENT PURSUANT TO 37 C.F.R. 1.821

Sir:

This statement is made pursuant to 37 C.F.R. 1.825(b). Applicant encloses herewith a paper copy and a computer readable diskette copy of the Sequence Listing. Applicants' representative states that the content of the paper copy and the computer readable diskette copy are identical and do not provide new matter over the originally filed specification.

Respectfully submitted,

A handwritten signature in black ink that appears to read "M. Trevisan".

Maria A. Trevisan, Reg. No. 48,207
Registration No. 48,207
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600 Atlantic Avenue
Boston, MA 02210

Docket No. C1039/7057 (HCL/MAT)
September 26, 2001
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